

Alkene Hydroxylation

Deutsche Ausgabe: DOI: 10.1002/ange.201603410
Internationale Ausgabe: DOI: 10.1002/anie.201603410Highly Enantioselective Iron-Catalyzed *cis*-Dihydroxylation of Alkenes with Hydrogen Peroxide Oxidant via an Fe^{III}-OOH Reactive IntermediateChao Zang⁺, Yungen Liu⁺, Zhen-Jiang Xu, Chun-Wai Tse, Xiangguo Guan, Jinhu Wei, Jie-Sheng Huang, and Chi-Ming Che^{*}

Abstract: The development of environmentally benign catalysts for highly enantioselective asymmetric *cis*-dihydroxylation (AD) of alkenes with broad substrate scope remains a challenge. By employing [Fe^{II}(L)(OTf)₂] (L = *N,N'*-dimethyl-*N,N'*-bis(2-methyl-8-quinolyl)-cyclohexane-1,2-diamine) as a catalyst, *cis*-diols in up to 99.8% *ee* with 85% isolated yield have been achieved in AD of alkenes with H₂O₂ as an oxidant and alkenes in a limiting amount. This “[Fe^{II}(L)(OTf)₂] + H₂O₂” method is applicable to both (*E*)-alkenes and terminal alkenes (24 examples > 80% *ee*, up to 1 g scale). Mechanistic studies, including ¹⁸O-labeling, UV/Vis, EPR, ESI-MS analyses, and DFT calculations lend evidence for the involvement of chiral Fe^{III}-OOH active species in enantioselective formation of the two C–O bonds.

Asymmetric *cis*-dihydroxylation (AD) of alkenes, usually using osmium reagents, is an important reaction in organic synthesis.^[1] To date, metal-free reagents for alkene *cis*-dihydroxylation remain underdeveloped;^[2] examples include cyclic peroxide anhydrides,^[3a] or chiral hypervalent iodine(III).^[3b] On the other hand, notable progress has been achieved for alkene *cis*-dihydroxylation with non-osmium metal compounds,^[1d,4] including that of ruthenium,^[4b,5] manganese,^[4d,6,7] and iron.^[4a,c,d,8] However, there are only a few examples of AD reactions catalyzed by complexes of the earth-abundant metals iron^[9] and manganese.^[10,11] An enantioselectivity of > 90% *ee* was reported for α-[Fe^{II}(*R,R*-6-Me₂-BPBP)(OTf)₂]-catalyzed AD of electron-rich alkenes (*E*)-2-octene and (*E*)-2-heptene (96–97% *ee*); the method, which was developed by Que and co-workers, employed H₂O₂ as a terminal oxidant and alkenes in a 50-fold excess.^[9b] [Mn^{II}(*S,S*-BQCN)Cl₂]-catalyzed AD of electron-deficient (*E*)-alkenes with Oxone (five examples, 93–96% *ee*) have been reported in our previous work.^[11] It has been proposed

that non-heme iron-catalyzed alkene *cis*-dihydroxylation reactions proceed via intermediate Fe^{III}(OOH),^[12] Fe^V(O)(OH),^[12,13] Fe^{IV}(OH)₂,^[14] or Fe^{II}(OOH)^[15] as active oxidant, depending on the ligand systems employed. Herein, we describe the realization of iron-catalyzed AD reactions with a reasonably broad substrate scope and with alkene substrates used in a limiting amount,^[8b,c] yielding *cis*-diols in up to 99.8% *ee* (85% isolated yield) when H₂O₂ is used as a terminal oxidant. An enantioselectivity of > 95% *ee* was achieved for 14 examples spanning both electron-deficient and electron-rich (*E*)-alkenes. A chiral Fe^{III}(OOH) reactive intermediate is suggested to be responsible for the highly enantioselective AD reactions.

The tetradentate N₄ ligands L2, L4, and L6 (featuring different chiral diamine backbones compared to that of *R,R*-BQCN (L1)),^[16] along with L1 derivatives L3, L5, L7, and L8, were synthesized according to a reported method (see Figure 1 and the Supporting Information). Treatment of

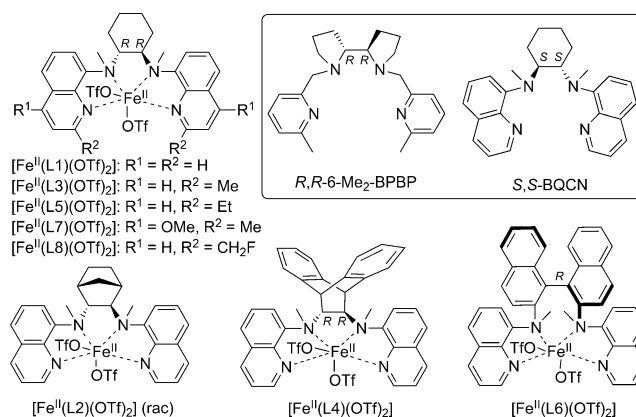


Figure 1. Chiral iron(II) catalysts described herein. Inset: examples of reported chiral ligands.

these ligands with [Fe(OTf)₂·(MeCN)₂] in THF afforded [Fe^{II}(L)(OTf)₂] (L = L1–L8) in 37–87% yields. Layering of hexane on top of CH₂Cl₂ solutions of the complexes gave X-ray diffraction quality crystals of [Fe^{II}(L2)(OTf)₂], [Fe^{II}(L3)(H₂O)₂](OTf)₂ (coordinated H₂O is presumably derived from trace moisture in the solvent), and [Fe^{II}(L8)-(OTf)₂]; the complexes in the crystals (Figure 2) all adopt a *cis*-α configuration. ¹H NMR analysis revealed *cis*-α configuration of [Fe^{II}(L3)(OTf)₂] in MeCN solution (no conversion to *cis*-β isomer was observed after 24 hours at 27 °C), in

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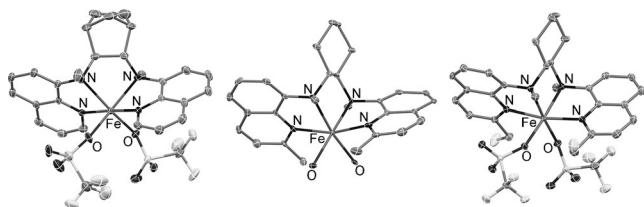


Figure 2. X-ray crystal structures of $[\text{Fe}^{\text{II}}(\text{L}2)(\text{OTf})_2]$, $[\text{Fe}^{\text{II}}(\text{L}3)(\text{H}_2\text{O})_2] \cdot (\text{OTf})_2$ (triflate counteranions not shown), and $[\text{Fe}^{\text{II}}(\text{L}8)(\text{OTf})_2]$. Hydrogen atoms are omitted; thermal ellipsoids are set at a 30% probability level.

contrast to the *cis*- β configuration of $[\text{Fe}^{\text{II}}(\text{BQCN})(\text{OTf})_2]$.^[16a] In MeCN, $[\text{Fe}^{\text{II}}(\text{L}1)(\text{OTf})_2]$ and $[\text{Fe}^{\text{II}}(\text{L}3)(\text{OTf})_2]$ display reversible/quasi reversible couples at +0.82 and +0.85 V (vs. Ag/AgNO₃), respectively (Supporting Information, Figure S1), assignable to a $\text{Fe}^{\text{III}}/\text{Fe}^{\text{II}}$ redox process.

Initially, $[\text{Fe}^{\text{II}}(\text{L})(\text{OTf})_2]$ ($\text{L} = \text{L}1\text{--L}6$) complexes were screened for *cis*-dihydroxylation of (*E*)-4-octene in MeCN with H_2O_2 as a terminal oxidant. The highest diol/epoxide ratios and *ee* values were achieved with $[\text{Fe}^{\text{II}}(\text{L}3)(\text{OTf})_2]$ (72% *ee*) and $[\text{Fe}^{\text{II}}(\text{L}5)(\text{OTf})_2]$ (98% *ee*; Supporting Information, Table S1). For AD of methyl (*E*)-cinnamate with H_2O_2 (3 equiv) in MeOH, $[\text{Fe}^{\text{II}}(\text{L}3)(\text{OTf})_2]$ gave a better result than $[\text{Fe}^{\text{II}}(\text{L}1)(\text{OTf})_2]$ and $[\text{Fe}^{\text{II}}(\text{L}5)(\text{OTf})_2]$ (Supporting Information, Table S2). Changing the solvent to EtOH, MeCN, *tert*-butanol, and *tert*-amyl alcohol led to poor substrate conversions. The alkene substrate remained intact when urea- H_2O_2 was used as the oxidant, and a < 1% *cis*-diol yield was obtained (though with 47% substrate conversion) using Oxone as the oxidant. Replacement of the methyl groups of $[\text{Fe}^{\text{II}}(\text{L}3)(\text{OTf})_2]$ with CH_2F groups, to give $[\text{Fe}^{\text{II}}(\text{L}8)(\text{OTf})_2]$, markedly lowered the catalytic activity but the enantioselectivity remained high (99.8 vs. 99.0% *ee*; compare entries 1 and 4, Table 1).

Using the “ $[\text{Fe}^{\text{II}}(\text{L}3)(\text{OTf})_2] + \text{H}_2\text{O}_2$ ” method with MeOH as a solvent, the AD of various (*E*)-alkenes with H_2O_2 (3 equiv) at 27°C gave the *cis*-diols in up to 99.8% *ee* with 85% isolated yield (Table 1). Entry 3 in Table 1 shows that OTf^- , in an ca. 33 times excess relative to $[\text{Fe}^{\text{II}}(\text{L}3)(\text{OTf})_2]$, has an insignificant effect on the enantioselectivity. Electron-deficient (*E*)-cinnamates bearing different ester groups or *para*-substituents resulted in 93.0–99.8% *ee* (entries 1–11, Table 1). Upon changing of the ester groups to amide, carbonyl, and cyano groups, the enantioselectivity was still high (94.7–99.2% *ee*; entries 12, 13, 15, 16; Table 1). For electron-rich (*E*)-alkenes, substituted 1-phenyl propenes led to 87.4–89.3% *ee* (entries 17–19, Table 1) whereas internal aliphatic alkenes gave 98.3–99.0% *ee* (entries 20–22, Table 1). Under similar conditions, with $[\text{Fe}^{\text{II}}(\text{L}3)(\text{OTf})_2]$ (3.0 mg) at a catalyst/alkene/ H_2O_2 ratio of 1:800:500 (H_2O_2 added with a syringe pump over 5 h), the AD of methyl (*E*)-cinnamate and (*E*)-methyl 3-(4-chlorophenyl)acrylate gave the *cis*-diols in 98.1 and 99.6% *ee* with turnover numbers (TON) of 150 and 200, respectively. These values are the highest TON for iron-catalyzed *cis*-dihydroxylation reported in the literature. Compared with $[\text{Mn}^{\text{II}}(\text{S,S-BQCN})\text{Cl}_2]$ -catalyzed AD of similar substrates with Oxone,^[11] better enantioselectivity,

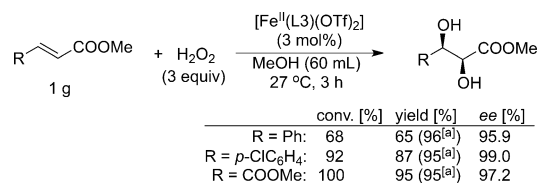
Table 1: $[\text{Fe}^{\text{II}}(\text{L}3)(\text{OTf})_2]$ -catalyzed AD of (*E*)-alkenes with H_2O_2 as an oxidant.

Entry ^[a]	Alkene	Conv. [%] ^[b]	<i>cis</i> -Diol [%] ^[c,d]	<i>ee</i> [%]
1		94	100(85)	99.8
2 ^[e]		92	99	98.1
3 ^[f]	Ph-CH=CH-COOMe	82	99	97.7
4 ^[g]		40	95	99.0
5	Ph-CH=CH-COOEt	97	98(74)	99.0
6	Ph-CH=CH-COOPh	95	96(82)	96.7
7		Me	91	98(89)
8		F	98	97
9		X = Cl	100	93(85)
10		Br	100	99
11		CF ₃	100	98
12	Ph-CH=CH-CONMe ₂	77	99	98.4
13 ^[h]	Ph-CH=CH-CONHCH ₂ CH ₂ Cl	89	93	97.8
14	MeOOC-CH=CH-COOMe	97	95	98.1
15	CH ₃ -CH=CH-COMe	74	99	99.2
16	Ph-CH=CH-CN	70	93	94.7
17	Ph-CH=CH-	78	88	87.4
18	Ph-CH=CH-Br	89	68	89.3
19	Ph-CH=CH-OAc	68	96	89.0
20		50	86	99.0
21		45	87	98.3
22		48	89	98.4

[a] Reaction conditions: alkenes (0.2 mmol), $[\text{Fe}^{\text{II}}(\text{L}3)(\text{OTf})_2]$ (0.006 mmol, 3 mol%), H_2O_2 (0.6 mmol, 3.0 equiv), MeOH (2 mL), 27°C, 1.5 h. [b] Determined by ¹H NMR or by GC. [c] Yields determined by ¹H NMR and based on conversions. [d] Isolated yields based on starting substrates were shown in brackets. [e] Open to air. [f] NaOTf (0.2 mmol) was added. [g] $[\text{Fe}^{\text{II}}(\text{L}8)(\text{OTf})_2]$ was used. [h] $[\text{Fe}^{\text{II}}(\text{L}3)(\text{OTf})_2]$ (5 mol %) was used.

improved product yields, and broader substrate scope have been observed in this work, and the selectivity of *cis*-diols increased significantly (epoxide and C=C bond cleavage side products formed in < 1% yield).

A gram-scale AD reaction using the “ $[\text{Fe}^{\text{II}}(\text{L}3)(\text{OTf})_2] + \text{H}_2\text{O}_2$ ” method has been achieved with methyl (*E*)-cinnamates and dimethyl fumarate as substrates. As depicted in Scheme 1, a one-pot AD reaction with 1 g of methyl (*E*)-cinnamate, (*E*)-methyl 3-(4-chlorophenyl)acrylate, or dimethyl fumarate, furnished the *cis*-diol product in up to 99.0% *ee* (87% isolated yield). The enantioselectivity was better than that reported for the $[\text{Mn}^{\text{II}}(\text{S,S-BQCN})\text{Cl}_2]$ -catalyzed AD of methyl (*E*)-cinnamate with Oxone (92% *ee*).^[11] Sharpless and co-workers reported similar AD reaction



Scheme 1. Gram-scale AD of methyl (*E*)-cinnamates and dimethyl fumarate with H_2O_2 (3 equiv) catalyzed by $[\text{Fe}^{\text{II}}(\text{L}3)(\text{OTf})_2]$. [a] Yield based on conversion (%).

on a 1 mol scale affording the *cis*-diol, after recrystallization, in 99 % *ee* and 72 % yield.^[17]

[Fe^{II}(L3)(OTf)₂]-catalyzed AD of (*Z*)-alkenes, (*Z*)- β -methyl styrene, indene, dihydronaphthalene, coumarin, and 2-cyclohexene-1-one, gave the corresponding *cis*-diols in 22.6–83.1 % *ee* (entries 1–5, Table 2). For 1,2-dihydro-

Table 2: [Fe^{II}(L3)(OTf)₂]-catalyzed AD of (*Z*)-alkenes and terminal alkenes with H₂O₂ as an oxidant.

Entry ^[a]	Alkene	Conv. [%] ^[b]	<i>cis</i> -Diol [%] ^[c]	<i>ee</i> [%]
1 ^[d,e]		62	50	22.6
2 ^[d,e]		87	54	40.6
3 ^[d]		89	53	83.1
4 ^[f]		31	81	75.1
5		52	21 ^[g]	46.4
6		99	99	92.3
7		31	94	81.6
8		40	95	84.9
9		24	96	83.8

[a] Reaction conditions: alkenes (0.2 mmol), [Fe^{II}(L3)(OTf)₂] (0.006 mmol, 3 mol %), H₂O₂ (0.6 mmol, 3.0 equiv), MeOH (2 mL), 27 °C, 1.5 h. [b] Determined by ¹H NMR or GC. [c] Yields determined by ¹H NMR and based on conversions. [d] MeCN/MeOH (2:1, 3 mL). [e] [Fe^{II}(L3)(OTf)₂] (0.01 mmol, 5 mol %) was used. [f] MeCN/MeOH (2:1, 3 mL). [g] Isolated yield based on conversion.

naphthalene, the *cis*-diol was obtained in 83.1 % *ee* with 53 % yield. For terminal alkenes, *tert*-butyl acrylate gave the *cis*-diol in nearly quantitative yield with 92.3 % *ee* (entry 6, Table 2); 1-octene, homoallyl benzene, and cyclohexyl ethylene afforded the *cis*-diols in 81.6–84.9 % *ee* and with high selectivity (94–96 %, entries 7–9, Table 2).

The AD of styrene with H₂O₂ (3 equiv) in MeOH catalyzed by [Fe^{II}(L1)(OTf)₂], [Fe^{II}(L3)(OTf)₂], or [Fe^{II}(L7)(OTf)₂] gave the *cis*-diol in 7, 4, and 12 % *ee*, respectively (Supporting Information, Table S3). With MeCN/MeOH (2:1, v/v) as solvent, the AD of styrene and *p*-Br, *m*-Cl, and *o*-F substituted styrenes afforded the *cis*-diols in 15.0–36.9 % *ee* for [Fe^{II}(L3)(OTf)₂], and in 15.6–32.0 % *ee* for [Fe^{II}(L7)(OTf)₂]; [Fe^{II}(L6)(OTf)₂] catalyzed the AD of these styrenes to give the *cis*-diols in significantly higher *ee* values of 40.3–59.8 % (Table 3).

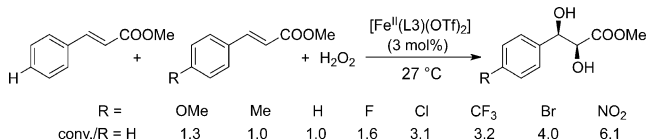
The L6 ligand, with a binaphthyl backbone, could be a promising chiral ligand scaffold. The (*Z*)-alkenes, coumarin, indene, and (*Z*)- β -methyl styrene, also underwent AD by the “[Fe^{II}(L6)(OTf)₂] + H₂O₂” method, giving the *cis*-diols in higher *ee* values (83, 67, and 56 % *ee*, respectively; Supporting Information, Scheme S1), than that obtained with [Fe^{II}(L3)(OTf)₂]. The increased enantioselectivity achieved using [Fe^{II}(L6)(OTf)₂] indicates that the AD of styrenes and (*Z*)-alkenes may be feasibly improved by modification of the N₄ ligand system.

Table 3: [Fe^{II}(L3)(OTf)₂], [Fe^{II}(L6)(OTf)₂], and [Fe^{II}(L7)(OTf)₂]-catalyzed AD of styrene and its *p*-Br, *m*-Cl, or *o*-F derivatives with H₂O₂.^[a]

R	[Fe ^{II} (L3)(OTf) ₂]	[Fe ^{II} (L6)(OTf) ₂] ^[d]	[Fe ^{II} (L7)(OTf) ₂]
H	17.5 (83, 90)	59.8 (33, 94)	19.8 (60, 65)
<i>p</i> -Br	32.3 (83, 98)	58.5 (54, 65)	25.7 (60, 63)
<i>m</i> -Cl	36.9 (81, 95)	49.7 (34, 79)	32.0 (54, 69)
<i>o</i> -F	15.0 (77, 84)	40.3 (21, 86)	15.6 (38, 63)

[a] Reaction conditions: alkenes (0.2 mmol), catalyst (0.006 mmol, 3 mol %), H₂O₂ (0.6 mmol, 3.0 equiv), MeCN/MeOH (2:1, 3 mL), 27 °C, 1.5 h. [b] Determined by ¹H NMR or GC. [c] Determined by ¹H NMR based on conversions. [d] Catalyst (5 mol %) with MeOH (2 mL) as solvent. Change of catalyst loading from 3 to 5 mol % increased the conversion and *cis*-diol yield but did not affect the enantioselectivity.

A number of mechanistic studies have been performed for the [Fe^{II}(L3)(OTf)₂]-catalyzed AD reactions with H₂O₂. 1) Time-course experiments revealed that the AD of methyl (*E*)-cinnamate at 27 °C is > 90 % complete within 0.5 h (Supporting Information, Figure S3). Competitive AD reactions (Scheme 2) revealed that electron-withdrawing



Scheme 2. Competitive AD of methyl (*E*)-cinnamates with H₂O₂ catalyzed by [Fe^{II}(L3)(OTf)₂].

para-substituents (Cl, CF₃, Br, and NO₂) of methyl (*E*)-cinnamate significantly accelerate the reaction by up to 6.1-fold, while electron-donating groups (*p*-MeO and *p*-Me) showed little effect on the reaction, and for *para*-substituted styrenes the *p*-Cl group accelerated the reaction more than a *p*-Me group (Supporting Information, Scheme S2). This coincides with a coordinated “nucleophilic” hydroperoxide oxidant but disfavors a highly oxidizing Fe^V-oxo reaction intermediate for the reaction (DFT calculated *E* = 1.58 V vs. SCE for [Fe^V(L3)(O)(OH)]²⁺/[Fe^{III}(L3)(OH)(OH₂)]²⁺ at pH 1; Supporting Information). 2) The *ee*, *cis*-diol yield, and substrate conversion for the AD of methyl (*E*)-cinnamate were almost unaffected by the presence of air (compare entries 1 and 2, Table 1), disfavoring a radical autoxidation pathway. 3) Treatment of styrene epoxide with H₂O₂ or H₂O in the presence of catalyst [Fe^{II}(L3)(OTf)₂] mainly gave 2-methoxy-2-phenylethanol (45 % or 97 %) with the diol obtained in a minor amount (9 % or 2 %; Supporting Information, Scheme S3). Thus, a pathway featuring alkene epoxidation and ring-opening of epoxides could be ignored. 4) GC-MS measurements revealed very low ¹⁸O-incorporation into the *cis*-diol product (¹⁶O¹⁶O/¹⁶O¹⁸O/¹⁸O¹⁸O ratio = 98.6:1.2:0.2) for the AD of styrene in the presence of H₂¹⁸O (H₂¹⁶O₂/H₂¹⁸O = 1:10; Supporting Information, Scheme S4),

indicating that the two oxygen atoms in the *cis*-diol did not come from water.^[8b, 12b, 13d, 14b] 5) Using $\text{H}_2^{18}\text{O}_2$ as an oxidant, the AD of methyl (*E*)-cinnamate gave the *cis*-diol in 91% yield (based on oxidant); GC-MS analysis showed that both the *cis*-diol oxygen atoms were labeled by ^{18}O and should come from $\text{H}_2^{18}\text{O}_2$. 6) High-resolution ESI-MS analysis of a reaction mixture of $[\text{Fe}^{\text{II}}(\text{L3})(\text{OTf})_2]$ in MeOH (1 mM) with dimethyl fumarate (20 equiv) and H_2O_2 (2 equiv) at 27°C revealed a new cluster peak at m/z 328.62 attributable to intermediate **I** (Figure 3 a, mass accuracy = 2 ppm). Incorporation

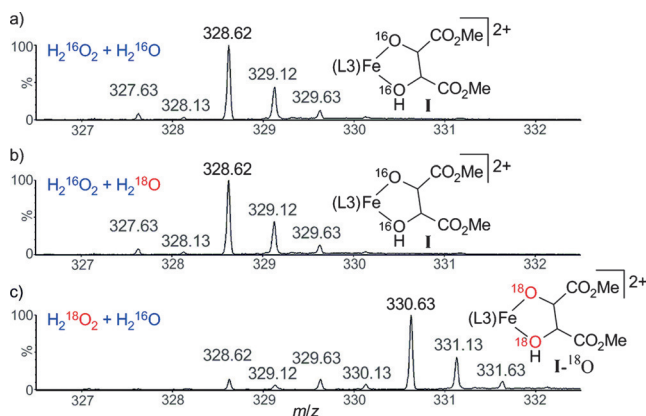


Figure 3. ESI-MS signals attributed to **I**, generated under the conditions: $[\text{Fe}(\text{L3})(\text{OTf})_2]/\text{H}_2\text{O}_2/\text{alkene}/\text{H}_2\text{O} = 1:2:20:200$ (reaction time: 10 min) using a) unlabeled H_2O_2 and H_2O , b) ^{18}O -labeled H_2O , and c) ^{18}O -labeled H_2O_2 .

ration of ^{18}O into **I** was not observed in the presence of H_2^{18}O (Figure 3b), but both the oxygen atoms of **I** were ^{18}O -labeled if $\text{H}_2^{18}\text{O}_2$ was used (Figure 3c). This demonstrates that these oxygen atoms are both derived from hydrogen peroxide rather than from water. 7) Reaction of $[\text{Fe}^{\text{II}}(\text{L3})(\text{OTf})_2]$ with H_2O_2 at -50°C in acetone/ $\text{CF}_3\text{CH}_2\text{OH}$ generated a transient species exhibiting a UV/Vis absorption band at approximately 580 nm, which decayed via an isosbestic point at 500 nm after reaching maximum intensity at about 32 s (Figure 4 a; Supporting Information, Figure S4). The 580 nm absorption of this transient species is similar to that reported for $\text{Fe}^{\text{III}}(\text{OOH})$ species.^[18] X-band EPR measurements revealed that reaction of $[\text{Fe}^{\text{II}}(\text{L3})(\text{OTf})_2]$ (1.5 mM) with H_2O_2 (10 equiv) in acetone/ $\text{CF}_3\text{CH}_2\text{OH}$ at -50°C for 90 s generated new signals with $g = 6.7$, 5.3, and 4.5 (Figure 4 b; Supporting Information, Figure S5), which can be attributed to high-spin $\text{Fe}^{\text{III}}(\text{OOH})$ species with $S = 5/2$.^[18] Considering also the aforementioned ^{18}O -labeling studies, which indicate a non-water assisted mechanism,^[12] we propose that this transient species is $[\text{Fe}^{\text{III}}(\text{L3})(\text{OOH})]^{2+}$ (**A**).^[12] The very fast formation of this transient $\text{Fe}^{\text{III}}(\text{OOH})$ species apparently coincides with the lack of induction period observed in the time-course experiment (Supporting Information, Figure S3).

DFT calculations revealed that a direct attack of $[\text{Fe}^{\text{III}}(\text{L3})(\text{OOH})]^{2+}$ (**A**, sextet ($S = 5/2$) ground state) on methyl (*E*)-cinnamate, giving intermediate **INT**, is both kinetically and thermodynamically more favorable than the O–O bond heterolysis of **A** to form $\text{Fe}^{\text{V}}(\text{O})(\text{OH})$ intermedi-

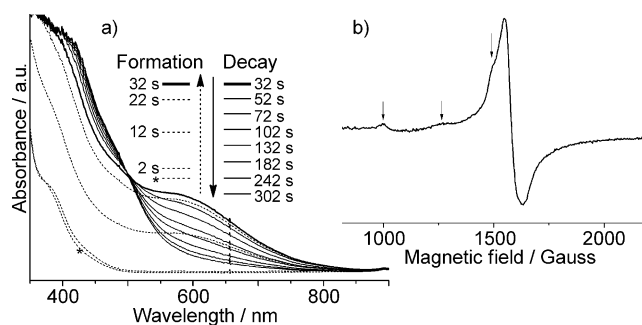


Figure 4. a) UV/Vis spectral changes upon reaction of $[\text{Fe}^{\text{II}}(\text{L3})(\text{OTf})_2]$ (1.5 mM) with H_2O_2 (10 equiv) at -50°C in acetone/ $\text{CF}_3\text{CH}_2\text{OH}$ (3:1) at various reaction times (* = spectrum of $[\text{Fe}^{\text{II}}(\text{L3})(\text{OTf})_2]$). b) X-band EPR spectrum (at 10 K) of a reaction mixture of $[\text{Fe}^{\text{II}}(\text{L3})(\text{OTf})_2]$ (1.5 mM) and H_2O_2 (10 equiv) in acetone/ $\text{CF}_3\text{CH}_2\text{OH}$ (3:1) at -50°C for a mixture quenched/frozen at a reaction time of 90 s.

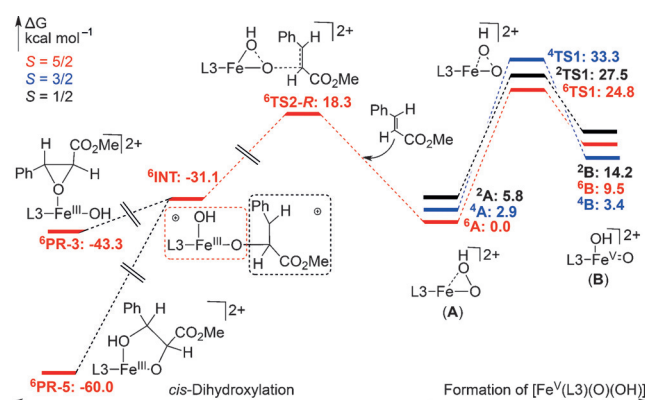


Figure 5. Computed potential energy surface in *cis*-dihydroxylation by $[\text{Fe}^{\text{III}}(\text{L3})(\text{OOH})]^{2+}$ (**A**) and formation of *cis*- $[\text{Fe}^{\text{V}}(\text{L3})(\text{O})(\text{OH})]^{2+}$ (**B**).

ate **B** ($\Delta G^\ddagger = 18.3$ vs. $24.8\text{--}33.3$ kcal mol $^{-1}$, $\Delta G = -31.1$ vs. $3.4\text{--}14.2$ kcal mol $^{-1}$; Figure 5). In the ring-closure reactions of **INT**, the dihydroxylation product **PR-5** should be overwhelming (compared with epoxidation product **PR-3**) due to the large diol/epoxide free energy difference (ΔG_{5-3}) of -16.7 kcal mol $^{-1}$. The ΔG_{5-3} value increases to -22.4 kcal mol $^{-1}$ upon changing the alkene substrate to 4-octene, but decreases to -6.8 kcal mol $^{-1}$ with styrene as the substrate; the latter can account for the epoxidation related products (2-methoxy-2-phenylethanol and styrene epoxide, $\leq 10\%$ total yield) in the AD of styrene. The enantioselectivity can be accounted for by the difference in free energy ($\Delta\Delta G^\ddagger$) for the transition states **TS2-R** and **TS2-S** (Supporting Information, Figure S6) in the rate-determining step. The computed $\Delta\Delta G^\ddagger$ for the AD of methyl (*E*)-cinnamate is -2.6 kcal mol $^{-1}$ which corresponds to 97.7% *ee*, in good agreement with the experimental *ee* value of 99.8% (entry 1, Table 1). For AD of (*E*)- and (*Z*)- β -methyl styrene via **A**, the *ee* values predicted from computed $\Delta\Delta G^\ddagger$ (-1.83 and -0.94 kcal mol $^{-1}$; Supporting Information, Figure S7) are 91.3% and 66.1%, respectively, which is consistent with the lower *ee* value found experimentally for the (*Z*)-alkene compared to that for the (*E*)-alkene.

Based on the experimental mechanistic studies and DFT calculations, the $[\text{Fe}^{\text{II}}(\text{L}3)(\text{OTf})_2]$ -catalyzed AD of alkenes involves chiral intermediate $[\text{Fe}^{\text{III}}(\text{L}3)(\text{OOH})]^{2+}$ (**A**) as an active oxidant. The highly oxidizing electrophilic Fe^{V} -oxo oxidants, such as $\text{cis-}[\text{Fe}^{\text{V}}(\text{L}3)(\text{O})(\text{OH})]^{2+}$ (**B**) with a high E value of 1.58 V (calculated, vs. SCE), is deemed to play a minor role, if any, in the catalysis particularly in view of the findings depicted in Scheme 2 and the insignificant C=C bond oxidative cleavage products observed in the AD reactions.

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